



**PearlGlobal**

PGIL/SE/2021-22/10

Date: June 25, 2021

**THE GENERAL MANAGER,  
DEPARTMENT OF CORPORATE SERVICES - CRD  
BSE LIMITED  
1<sup>ST</sup> FLOOR, NEW TRADING RING  
ROTUNDA BUILDING, P. J. TOWERS  
DALAL STREET, FORT,  
MUMBAI - 400 001**

**THE GENERAL MANAGER,  
LISTING DEPARTMENT  
NATIONAL STOCK EXCHANGE OF INDIA LTD.  
"EXCHANGE PLAZA", PLOT NO. C- 1,  
G- BLOCK,  
BANDRA - KURLA COMPLEX,  
BANDRA ( E ),  
MUMBAI - 400 051**

**Reg: Scrip Code: BSE-532808**

**NSE - PGIL**

**Sub: Secretarial Compliance Report for the year ended 31<sup>st</sup> March, 2021**

Dear Sir/Madam,

Pursuant to the Regulation 24A(2) of SEBI (Listing Obligations and Disclosure Requirements) Regulations, 2015, please find enclosed herewith Secretarial Compliance Report of the Company, for the financial year ended March 31, 2021.

The above is for your information and record.

Thanking you,

Yours faithfully,  
for **Pearl Global Industries Limited**

*Mayank Jain*  
**(Mayank Jain)**  
**Company Secretary & Compliance Officer**  
**ICSI M.No. ACS-26620**



**Pearl Global Industries Limited** ←

Corp. Office : Plot No. 51, Sector-32, Gurugram-122001 Haryana (INDIA)  
Tel.: +91-124-4651000, Website: www.pearlglobal.com

CIN : L74899DL1989PLC036849

Regd. Office: C-17/1, Paschimi Marg, Vasant Vihar, New Delhi-110057

## Annex-A

### Annual Secretarial compliance report of Pearl Global Industries Ltd for the year ended 31<sup>st</sup> March 2021.

I CS Jayant Sood Membership No. 4482 CP no 22410 have examined:

- all the documents and records made available to us and explanation provided by **Pearl Global Industries Ltd.** (“the listed entity”),
- the filings/ submissions made by the listed entity to the stock exchanges,
- website of the listed entity,
- any other document/ filing, as may be relevant, which has been relied upon to make this certification, for the year ended 31<sup>st</sup> March 2021 (“Review Period”) in respect of compliance with the provisions of
- the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and
- the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

**The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-**

- a. Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- b. Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018;
- c. Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011;
- d. Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018; **Not Applicable**
- e. Securities and Exchange Board of India (Share-Based Employee Benefits) Regulations, 2014; **Not Applicable**

## Jayant Sood and Associates (Company Secretaries)

270A, 2<sup>nd</sup> Floor, Tower B 1, Spaze I-Tec Park, Sector 49, Gurgaon – 122018 - India

D 140, Rodeo Drive, South City 2, Gurgaon, Haryana – 122018 -India

Telephone : 9354890082, 7838381100 Email: [jayant\\_sood@hotmail.com](mailto:jayant_sood@hotmail.com)

f. Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008;  
**Not Applicable**

g. Securities and Exchange Board of India(Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations,2013; **Not Applicable**

h. Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;

i. Other regulations as applicable and circulars/ guidelines issued thereunder; and based on the above examination, I/We hereby report that during the Review Period:

a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder, except in respect of matters specified below:-

Sr. No	Compliance Requirement (Regulations /Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
- NIL -			

b) The following are the details of actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder:

Sr. No	Compliance Requirement (Regulations /Circulars/ guidelines including specific clause)	Deviations	Observations/ Remarks of the Practicing Company Secretary
- NIL -			

**JAYANT SOOD**  
Digitally signed by JAYANT SOOD  
DN: cn=JAYANT SOOD, o=JAYANT SOOD AND ASSOCIATES (COMPANY SECRETARIES), ou=JAYANT SOOD AND ASSOCIATES (COMPANY SECRETARIES), email=jayant\_sood@hotmail.com, c=IN  
Date: 2021.06.11 16:54:31 +05'30'

## Jayant Sood and Associates (Company Secretaries)

270A, 2<sup>nd</sup> Floor, Tower B 1, Spaze I-Tec Park, Sector 49, Gurgaon – 122018 - India

D 140, Rodeo Drive, South City 2, Gurgaon, Haryana – 122018 -India

Telephone : 9354890082, 7838381100 Email: [jayant\\_sood@hotmail.com](mailto:jayant_sood@hotmail.com)

c) The listed entity has taken the following actions to comply with the observations made in previous reports: **(NOT APPLICABLE)**

Sr. No	Observations of the Practicing Company Secretary in the previous reports	Observations made in the secretarial compliance report for the year ended 31 <sup>st</sup> March 2019.	Observations/ Remarks of the Practicing Company Secretary
- NOT APPLICABLE -			

**JAYANT  
SOOD**

Digitally signed by JAYANT SOOD  
DN: c=IN, o=Haryana,  
2.5.4.20=Indraprastha, cn=JAYANT SOOD, email=jayant\_sood@hotmail.com,  
serialNumber=14623366549P32066272204645496196a1  
88445525a6b0a4220949470, cn=Personal, cn=JAYANT  
SOOD, postalCode=201106, postalCode=201106, postalCode=201106,  
Date: 2021.06.11 16:24:48 +05'30'

Name of the Practicing Company Secretary: Cs Jayant Sood

FCS No.: 4482

C P No. : 22410

(UDIN:F004482C000449124)

Signature :  
Date : 11<sup>th</sup> June 2021  
Place : Gurugram